

DATE: February 06, 2019

CIRCULARS

RBI

• No New Circulars

NSE

- Surveillance Obligations for Trading Members Update
- Mock trading on Saturday, February 09, 2019- No new version release
- Mock trading (Contingency) on Saturday, February 09, 2019 No New version release
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Settlement schedule for February 2019 Physical Settlement in Equity Derivatives
- Applicability of Additional Surveillance Measure (ASM)
- Exclusion of Futures and Options contract on RCOM
- Change in Market lot for security MITTAL in SME Platform
- Prevention of Self-Trade
- Prevention of Self-Trade
- Prevention of Self-Trade
- CM Settlement calendar for March 2019
- CM Settlement calendar for March 2019
- Surrender of Membership

- Unique Algo Identifier
- Derivatives contracts in Reliance Communications Limited (RCOM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Revised MWPL, TM, FII/FPI & MF limits for CONCOR
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)

BSE

- Surveillance obligation for the Trading Members Update
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure
- Release of BOLT TWS ver. 77.30
- Mock Trading on Saturday, February 09, 2019 for Commodity Derivatives segment
- Mock Trading on Saturday, February 09, 2019 for Currency Derivatives segment
- Mock Trading on Saturday, February 09, 2019 for Equity segment
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure
- Unique Identifier for Algorithms
- Disclosures by Stock Exchanges for commodity derivatives
- Resignation of Trading Member
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger "Upload of Holding Statement"
- Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended February 01, 2019.
- Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants

ICEX

• No New Circular

MCX

- Commencement of Gold New LTP Based Spread Contracts
- Commencement of Futures Trading in Gold Mini May 2019 contract and LTP Based Spread Trading Facility in Gold Mini Futures Contracts
- Mock Trading
- Disclosures by Stock Exchanges for Commodity Derivatives
- Acceptance of Fixed Deposit Receipts (FDRs) in electronic form

SEBI

- Performance review of Public Interest Directors (PIDs)
- Order in the matter of Delisting of Equity Shares of REIL Electricals India Ltd.
- Order in respect of Ms. Rashmi Kothari in the matter of Rajlaxmi Industries Ltd
- Settlement Order in respect of Shirish M. Anjaria HUF in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Parag J Dalal in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Devangi Parag Dalal in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Hasumati Dalal in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Jigan D. Anjaria in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Parag J Dalal HUF in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Dynacons Systems & Solutions Limited in the matter of Dynacons Technologies Ltd

- Settlement Order in respect of Nilam S. Anjaria in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Trigen Infosolutions Limited in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Dharmesh S. Anjaria in the matter of Dynacons Technologies Ltd
- Settlement Order in respect of Shrish M. Anjaria in the matter of Dynacons Technologies Ltd

NSDL

• No New Circulars

NCDEX

• Mock Drill and Subsequent Live Trading from DR site

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